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Approved for use through 10/31/2002. OMB 0651-0031


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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/827,451	
	Filing Date	April 6, 2001	
	First Named Inventor	Robert F. Terry	
	Group Art Unit	2133	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	34	Attorney Docket Number	52723.00002

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawings (substitute) (Figs: 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, and 24) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copy of Notice to File Missing Parts; Declaration; Letter to Official Draftsperson; Return Receipt Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Arnold M. de Guzman, Esq., Reg. No 39,955 Squire, Sanders & Dempsey L.L.P. 600 Hansen Way Palo Alto, CA 94304
Signature	
Date	July 20, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text" value="July 20, 2001"/>			
Typed or printed name	Arnold M. de Guzman		
Signature		Date	July 20, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Examiner: Unknown

Robert F. Terry

Art Unit: 2133

Serial No: 09/827,451

Filed: April 6, 2001

For: System And Method For Real Time
Monitoring And Control Of A
Computer Machine Environment And
Configuration Profile

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL
APPLICATION**

Dear Sir:

In response to the Notice to File Notice to File Missing Parts of Nonprovisional Papers mailed on May 23, 2001 in the above-identified application, enclosed herewith are the following:

- 1) Copy of Notice to File Missing Parts of Nonprovisional Application;
- 2) Letter to Chief Draftsperson (Request to Substitute Drawings);
- 3) Substitute Drawings (Figs.: 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, and 24);
- 4) Declaration for Utility Patent Application for Robert F. Terry; and
- 5) Power of Attorney.

Please charge \$65.00 to Deposit Account No. 05-0150 for payment of the surcharge as set forth in 37 CFR 1.16(e) for a small entity in compliance with 37 CFR 1.27.

If the Examiner has any questions or needs additional information, the Examiner is invited to telephone the undersigned attorney at (650) 856-6500.

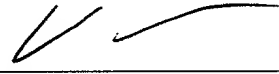
10/24/01 10:44:22

If for any reason an insufficient fee has been paid, please charge the insufficiency to Deposit
Account No. 05-0150.

Respectfully submitted,
Robert F. Terry


Date: July 20, 2001

SQUIRE, SANDERS & DEMPSEY L.L.P.
600 Hansen Way
Palo Alto, California 94304-1043
(650) 856-6500

By 
Arnold M. de Guzman
Attorney for Applicant
Reg. No. 39,955

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on

Date: July 20, 2001 By: 
Arnold M. de Guzman



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of:	Examiner: Unknown
Robert F. Terry	Art Unit: 2133
Serial No: 09/827,451	
Filed: April 6, 2001	
For: System And Method For Real Time Monitoring And Control Of A Computer Machine Environment And Configuration Profile	

Commissioner for Patents
Washington, D.C. 20231

**LETTER TO THE CHIEF DRAFTSPERSON
(Request to Substitute Drawings)**

Sir:

Subject to the approval of the Primary Examiner in the above-entitled patent application, please substitute the enclosed twenty-four (24) sheets of drawings, containing substituted figures 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, and 24, for the twenty-four (24) sheets of informal drawings containing Figures 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, and 24 as originally filed.

REMARKS

Applicant respectfully submits that the requested drawing substitution is consistent with the corresponding material in the specification and does not add any new matter to the application.

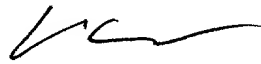
09/827,451

Should the Examiner have any questions concerning this request, the Examiner is invited to call the undersigned at the number shown below.

Respectfully submitted,
Robert F. Terry

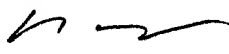
Date: July 20, 2001

Squire, Sanders & Dempsey L.L.P.
600 Hansen Way
Palo Alto, CA 94304-1043
Telephone (650) 843-3397
Facsimile (650) 856-3619

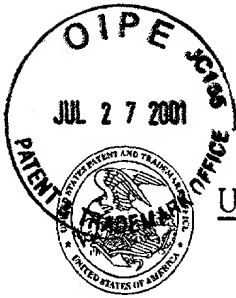
By 
Arnold M. de Guzman
Attorney for Applicant
Registration No. 39,955

CERTIFICATE OF MAILING

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Date: July 20, 2001 By: 
Arnold M. de Guzman

09/827,451-15442860



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/827,451	04/06/2001	Robert F. Terry	52723.00002

CONFIRMATION NO. 6181

FORMALITIES LETTER



OC000000006105597

Squire, Sanders & Dempsey L.L.P.
600 Hansen Way
Palo Alto, CA 94304

Date Mailed: 05/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

07/31/2001 BNGUYEN1 00000019 050150 09827451

01 FC:205 65.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

703.308.1202